FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287
Estimated average burden

0.5

hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Mickle Travis C		2. Issuer Name <b>and</b> Tion KEMPHARM,				(Ch	S. Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director X 10% Owner     X Officer (give title Other (specify below)      President & CEO				
(Last) (First) (Middle) C/O KEMPHARM, INC. 2500 CROSSPARK ROAD, SUITE E126	3. Date of Earliest Tran 10/12/2018	saction	(Mon	th/Day/Year)							
(Street) CORALVILLE IA 52241		4. If Amendment, Date	of Origi	inal Fi	led (Month/Da	y/Year)	Line	X Form filed by	One Reporting F	Person	
(City) (State) (Zip)								Person	More than One F	Reporting	
Table I - N	Non-Derivati	ve Securities Ac	quire	d, D	isposed o	f, or B	eneficial	ly Owned			
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Yea	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		I (A) or . 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(insur 4)	
Common Stock	08/30/2018	3	G	V	32,172	A	\$0	1,679,978	D		
Common Stock	08/30/2018	3	G	V	18,139	A	\$0	1,698,117	D		
Common Stock	10/12/2018	3	P		5,000	Α	\$2.5528	1,703,117	D		
Common Stock	08/30/2018	3	G	v	32,172	D	\$0	21,466	I	By Travis C Mickle & Christal M.M. Mickle TRS UA 4- 30-09 TCM Family Trust, co- trustee with spouse	
Common Stock	08/30/2018	3	G	v	18,139	D	\$0	123,217	I	By Travis C Mickle & Christal M.M. Mickle TRS UA 4- 30-09 Mickle Family Trust, co- trustee with spouse	
Common Stock								33,614	I	By spouse	
Common Stock								157,197	I	By Travis C Mickle 2015 Dynasty Trust dtd 7/21/15, by spouse as trustee	
Common Stock								243,880	I	By Christal M.M. Mickle 2015 Gift Trust dtd 7/21/15, as trustee	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3)		Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)				Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(11150.4)	
Common Stock												17,	050	I	(1)	By Mickle Investments LLC	
Common Stock											100,604		<b>D</b> <sup>(2)</sup>				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	ate, Transaction of Code (Instr. Derivative			ative rities ired osed	Expiration Date (Month/Day/Year)			Amount of Securities		B. Price of Derivative Security (Instr. 5) Senderic Owned Followir Reporte Transac (Instr. 4)		ve es Form: ially Direct (D or Indire d tion(s)		Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares					

## **Explanation of Responses:**

- 1. The Reporting Person and his spouse are the managing members of Mickle Investments, LLC.
- 2. Held jointly with spouse.

/s/ Timothy J. Sangiovanni, 10/12/2018 Attorney-in-Fact for Travis C. **Mickle** 

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.