SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB Number:	3235-0287
Estimated average	burden
hours per response:	: 0.5

Instruction	s may continue. See 1 1(b).	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 19	hours per	hours per response: 0.5		
	x-7		or Section 30(h) of the Investment Company Act of 1940	- ·			
	Address of Reporting ., LaDuane	Person*	2. Issuer Name and Ticker or Trading Symbol KEMPHARM, INC [KMPH]	(Check all	ship of Reporting F applicable) irector	Person(s) to Issuer 10% Owner	
					fficer (give title elow)	Other (specif below)	fy
(Last) (First) (Middle C/O KEMPHARM, INC.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/29/2021		CFO, Secretary a	,	
1180 CELE	BRATION BOU	LEVARD, SUITE 103					
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individua Line)	al or Joint/Group Fi	ling (Check Applica	able
(Street)	TION EI	34747		X F	Form filed by One Reporting Person		
CELEBRATION FL 34747		54747			orm filed by More th erson	nan One Reporting	J
(City)	(State)	(Zip)					
		Table I - Non-Deriva	ative Securities Acquired, Disposed of, or Ben	eficially O	wned		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock	09/29/2021		Р		215	Α	\$9.38	4,730	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title Deriva Securi (Instr. :	of tive ty 3)2. Conversion or Exercise Price of Derivative Security3. Transaction Date 			Derivative Securities (Month/Day/Year) Securities Acquired (A) or Derivative Underlying Disposed of (D) Security (Instr. Security (Instr.				nt of ities lying ative ity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
										Amount				

Date Exercisable

Expiration Date

Explanation of Responses:

/s/ R. LaDuane Clifton

or Number

of Shares

Title

09/29/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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